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In re: **Leo Chiu**  
Case: **P8108** Application No.: **10/613,857** Filing date: **07/02/2003**  
Art Unit: **2645** Examiner: **Gerald Gauthier**  
Subject: **Behavioral Adaptation Engine for Discerning Behavioral Characteristics of Callers  
Interacting with an VXML-Compliant Voice Application**

**Certificate of Transmission under 37 CFR 1.8**Attention: **Gerald Gauthier**, Examiner

Fax No.: (571) 273-8300

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2. Duplicate Amendment Transmittal - 1 sheet
3. Response A - 7 sheets
4. Cover Letter for Information Disclosure Statement - 1 sheet
5. Information Disclosure Statement - 1 sheet
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Method of Transmission: Facsimile

CASE DOCKET NO. P8108

In reference to application of Leo Chiu

Serial No. 10/613,857

For Behavioral Adaptation Engine for Discerning Behavioral Characteristics of Callers Interacting with an VXML-Compliant Voice Application

Sir:

Transmitted herewith is and an amendment in the above-identified application, under 37 C.F.R. 1.312.

- ☒ No additional fee is required.  
☒ Applicant claims Small entity status under 37 CFR 1.27.  
☐ The fee has been calculated as shown below.

**** CLAIMS AS AMENDED ****							
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
	Claims Remaining After Amendment		Highest No. Paid For Previously	Present Extra	Rate Small Entity	Rate Large Entity	Additional Fee
Total Claims	30	Minus	** 36	0	\$ 25	\$ 50	\$ 0.00
Indep Claims	3	Minus	*** 3	0	\$ 100	\$ 200	\$ 0.00
<input type="checkbox"/> First presentation of a multiple dependent claim					\$ 0	\$ 0	\$ 0.00
<input type="checkbox"/> Terminal Disclaimer Fees							\$ 0.00
Extension Fee	<input type="checkbox"/> 1st Month		<input type="checkbox"/> 2nd Month		<input type="checkbox"/> 3rd Month		\$ 0.00
Total additional for claims, time extensions and disclaimer fees							\$ 0.00

\*\* If the "highest Number Previously Paid For" in this space is less than 20, write "20" in this space.

\*\*\* If the "highest Number Previously Paid For" in this space is less than 3, write "3" in this space.

\*\*\*\* Multiple dependencies, if any, included in the above calculation.

\* If the entry in column 2 is less than the entry in column 4, write "0" in column 5.

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☒ Please charge any additional fees or credit overpayment to Deposit Account 50-0534. A duplicate of this sheet is enclosed.

Respectfully Submitted, /Donald R. Boys/

Donald R. Boys  
 Reg. No. 35074

Central Coast Patent Agency, Inc.  
 P.O. Box 187  
 Aromas, CA 95004  
 (831) 726-1457

Method of Transmission: Facsimile

CASE DOCKET NO. P8108

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Reg. No. 35074Central Coast Patent Agency, Inc.  
P.O. Box 187  
Aromas, CA 95004  
(831) 726-1457

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Art Unit: 2645

Examiner: Gerald Gauthier.

In Re: Leo Chiu  
Case: P8108  
Serial No.: 10/613,857  
Filed: 07/02/2003  
Subject: Behavioral Adaptation Engine for Discerning Behavioral Characteristics  
of Callers Interacting with an VXML-Compliant Voice Application

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

## **Response A**